



UNITED STATES PATENT AND TRADEMARK OFFICE

DOCKET

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/457,732	12/10/1999	ANDREA CALIFANO	YO999-137	8003

21254 7590 01/18/2007

MCGINN INTELLECTUAL PROPERTY LAW GROUP, PLLC
8321 OLD COURTHOUSE ROAD
SUITE 200
VIENNA, VA 22182-3817

EXAMINER

ART UNIT

PAPER NUMBER

RECEIVED

YOR. 080

JAN 25 2007

DATE MAILED: 01/18/2007

MCGINN IP LAW, PLLC

Please find below and/or attached an Office communication concerning this application or proceeding.

**Notification of Non-Compliant Appeal Brief
(37 CFR 41.37)**

Application No.

09/457,732

Applicant(s)

CALIFANO ET AL.

Examiner

LAFORGIA, CHRISTIAN

Art Unit

2131

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

The Appeal Brief filed on 07 September 2006 is defective for failure to comply with one or more provisions of 37 CFR 41.37.

To avoid dismissal of the appeal, applicant must file an amended brief or other appropriate correction (see MPEP 1205.03) within **ONE MONTH or THIRTY DAYS** from the mailing date of this Notification, whichever is longer.

EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.

1. ☐ The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.
2. ☒ The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)).
3. ☐ At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)).
4. ☐ (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)).
5. ☐ The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi)).
6. ☐ The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)).
7. ☐ The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)).
8. ☐ The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner **and relied upon by appellant in the appeal**, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)).
9. ☐ The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x)).
10. ☐ Other (including any explanation in support of the above items):

Item 2. The appeal brief failed to give the status of all the claims filed in the application.


LORENDA HOOD

PATENT APPEAL CENTER SPECIALIST

09/457,732 SEMIOTIC SYSTEM AND METHOD WITH PRIVACY PROTECTION

02-12-
2007::13:42:55**Transaction History**

Date	Transaction Description
01-18-2007	Mail Post-examiner ans. com
01-17-2007	Post-examiner ans. com
01-17-2007	Exam. Ans. Review Complete
01-17-2007	Appeal Brief Review Complete
01-16-2007	Amendment After Brief
11-13-2006	Mail Examiner's Answer
11-09-2006	Examiner's Answer to Appeal Brief
09-13-2006	Date Forwarded to Examiner
09-07-2006	Appeal Brief Filed
07-07-2006	Notice of Appeal Filed
07-07-2006	Request for Extension of Time - Granted
06-22-2006	Petition Decision - Dismissed
05-08-2006	Petition Entered
06-08-2006	Mail Advisory Action (PTOL - 303)
06-06-2006	Advisory Action (PTOL-303)
05-17-2006	Date Forwarded to Examiner
05-08-2006	Amendment after Final Rejection
03-07-2006	Mail Final Rejection (PTOL - 326)
03-03-2006	Final Rejection
12-23-2005	Date Forwarded to Examiner
12-16-2005	Response after Non-Final Action
09-16-2005	Mail Non-Final Rejection
09-14-2005	Non-Final Rejection
09-06-2005	Miscellaneous Incoming Letter
08-16-2005	Date Forwarded to Examiner
08-10-2005	Request for Continued Examination (RCE)
08-16-2005	DISPOSAL FOR A RCE/CPA/129 (express abandonment if CPA)
08-10-2005	Workflow - Request for RCE - Begin
07-28-2005	Mail Advisory Action (PTOL - 303)
07-25-2005	Advisory Action (PTOL-303)
07-19-2005	Date Forwarded to Examiner
07-11-2005	Amendment after Final Rejection
05-10-2005	Mail Final Rejection (PTOL - 326)
05-09-2005	Final Rejection
04-20-2005	Date Forwarded to Examiner
04-15-2005	Response after Non-Final Action
03-21-2005	Mail Non-Final Rejection
03-16-2005	Non-Final Rejection
02-25-2005	Mail Advisory Action (PTOL - 303)
02-22-2005	Workflow - Request for RCE - Begin
02-22-2005	Date Forwarded to Examiner
02-17-2005	Request for Continued Examination (RCE)

02-22-2005 DISPOSAL FOR A RCE/CPA/129 (express abandonment if CPA)
 02-22-2005 Advisory Action (PTOL-303)
 02-14-2005 Date Forwarded to Examiner
 01-18-2005 Amendment after Final Rejection
 11-17-2004 Mail Final Rejection (PTOL - 326)
 11-15-2004 Final Rejection
 10-06-2004 IFW TSS Processing by Tech Center Complete
 09-07-2004 Miscellaneous Incoming Letter
 07-20-2004 Miscellaneous Incoming Letter
 10-06-2004 Date Forwarded to Examiner
 06-18-2004 Response after Non-Final Action
 07-12-2004 Reference capture on IDS
 08-06-2004 Mail Examiner Interview Summary (PTOL - 413)
 08-04-2004 Examiner Interview Summary Record (PTOL - 413)
 06-18-2004 Workflow incoming amendment IFW
 03-18-2004 Mail Non-Final Rejection
 03-16-2004 Non-Final Rejection
 01-13-2004 Date Forwarded to Examiner
 01-02-2004 Response after Non-Final Action
 10-02-2003 Mail Non-Final Rejection
 09-29-2003 Non-Final Rejection
 09-09-2003 Case Docketed to Examiner in GAU
 07-02-2003 Case Docketed to Examiner in GAU
 07-12-2002 Information Disclosure Statement (IDS) Filed
 06-05-2002 Workflow - Drawings Received at Contractor
 06-05-2002 Workflow - Drawings Sent to Contractor
 03-02-2002 Case Docketed to Examiner in GAU
 10-06-2000 Case Docketed to Examiner in GAU
 02-14-2000 New or Additional Drawing Filed
 02-25-2000 Case Docketed to Examiner in GAU
 01-21-2000 Application Dispatched from OIPE
 01-10-2000 IFW Scan & PACR Auto Security Review
 12-14-1999 Initial Exam Team nn

Close Window

09/457,732

SEMIOTIC SYSTEM AND METHOD WITH PRIVACY PROTECTION

02-12-

2007::13:44:25

This application is officially maintained in electronic form. To View: Click the desired Document Description. To Download and Print: Check the desired document(s) and click Start Download.

Available Documents

Mail Room Date	Document Description	Document Category	Page Count
01-18-2007	Miscellaneous Action with SSP	PROSECUTION	2
01-16-2007	Amendment/Argument after Notice of Appeal	PROSECUTION	1
01-16-2007	Claims	PROSECUTION	14
01-16-2007	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	43
01-16-2007	Status Letter Mailed to Applicant	PROSECUTION	2
11-14-2006	Index of Claims	PROSECUTION	1
11-13-2006	Examiner's Answer to Appeal Brief	PROSECUTION	20
11-13-2006	Claims	PROSECUTION	1
09-07-2006	Appeal Brief Filed	PROSECUTION	57
07-07-2006	Notice of Appeal Filed	PROSECUTION	1
07-07-2006	Extension of Time	PROSECUTION	1
06-22-2006	Petition Decision	PROSECUTION	3
06-08-2006	Advisory Action (PTOL-303)	PROSECUTION	3
06-08-2006	Amendment After Final or under 37CFR 1.312, initialed by the examiner.	PROSECUTION	1
05-08-2006	Amendment After Final	PROSECUTION	1
05-08-2006	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	14
05-08-2006	Petition for review by the Technology Center SPRE.	PROSECUTION	4
05-08-2006	Miscellaneous Incoming Letter	PROSECUTION	1
03-07-2006	Final Rejection	PROSECUTION	15
03-07-2006	List of references cited by examiner	PRIOR ART	1
03-07-2006	Search information including classification, databases and other search related notes	PROSECUTION	1
03-07-2006	Index of Claims	PROSECUTION	1
03-01-2006	Examiner's search strategy and results	PROSECUTION	1
12-27-2005	Fee Worksheet (PTO-06)	PROSECUTION	1
12-27-2005	Index of Claims	PROSECUTION	1
12-16-2005	Amendment - After Non-Final Rejection	PROSECUTION	2
12-16-2005	Claims	PROSECUTION	13
12-16-2005	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	13
09-16-2005	Non-Final Rejection	PROSECUTION	15
09-16-2005	List of references cited by examiner	PRIOR ART	1
09-16-2005	Search information including classification, databases and other search related notes	PROSECUTION	1
09-16-2005	Index of Claims	PROSECUTION	1
09-06-2005	Applicant summary of interview with examiner	PROSECUTION	4
08-10-2005	Amendment After Final or under 37CFR 1.312, initialed by the examiner.	PROSECUTION	1
08-10-2005	Request for Continued Examination (RCE)	PROSECUTION	1
07-28-2005	Advisory Action (PTOL-303)	PROSECUTION	3
07-28-2005	Amendment After Final or under 37CFR 1.312, initialed by the examiner.	PROSECUTION	1
07-20-2005	Fee Worksheet (PTO-06)	PROSECUTION	1
07-11-2005	Amendment After Final	PROSECUTION	2
07-11-2005	Claims	PROSECUTION	13
07-11-2005	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	13
05-10-2005	Final Rejection	PROSECUTION	16

05-10-2005	List of references cited by examiner	PRIOR ART	1
05-10-2005	NPL Documents	PRIOR ART	58
05-10-2005	Search information including classification, databases and other search related notes	PROSECUTION	1
05-10-2005	Index of Claims	PROSECUTION	1
05-03-2005	Examiner's search strategy and results	PROSECUTION	1
04-15-2005	Amendment - After Non-Final Rejection	PROSECUTION	2
04-15-2005	Claims	PROSECUTION	12
04-15-2005	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	11
03-21-2005	Non-Final Rejection	PROSECUTION	19
03-21-2005	List of references cited by examiner	PRIOR ART	1
03-21-2005	Search information including classification, databases and other search related notes	PROSECUTION	1
03-21-2005	Index of Claims	PROSECUTION	1
03-09-2005	Examiner's search strategy and results	PROSECUTION	1
02-25-2005	Advisory Action (PTOL-303)	PROSECUTION	3
02-25-2005	Amendment After Final or under 37CFR 1.312, initiated by the examiner.	PROSECUTION	1
02-22-2005	Amendment After Final or under 37CFR 1.312, initiated by the examiner.	PROSECUTION	1
02-17-2005	Fee Worksheet (PTO-06)	PROSECUTION	1
02-17-2005	Request for Continued Examination (RCE)	PROSECUTION	2
01-18-2005	Fee Worksheet (PTO-06)	PROSECUTION	1
01-18-2005	Amendment Submitted/Entered with Filing of CPA/RCE	PROSECUTION	2
01-18-2005	Claims	PROSECUTION	11
01-18-2005	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	11
11-17-2004	Final Rejection	PROSECUTION	13
11-17-2004	List of references cited by examiner	PRIOR ART	1
11-17-2004	Index of Claims	PROSECUTION	1
11-17-2004	Search information including classification, databases and other search related notes	PROSECUTION	1
11-05-2004	Examiner's search strategy and results	PROSECUTION	1
11-05-2004	Change of Address	PROSECUTION	1
09-07-2004	Miscellaneous Incoming Letter	PROSECUTION	2
09-07-2004	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	4
08-06-2004	Examiner Interview Summary Record (PTOL - 413)	PROSECUTION	3
07-20-2004	Miscellaneous Incoming Letter	PROSECUTION	3
06-18-2004	Fee Worksheet (PTO-06)	PROSECUTION	1
06-18-2004	Amendment - After Non-Final Rejection	PROSECUTION	2
06-18-2004	Claims	PROSECUTION	11
06-18-2004	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	10
03-18-2004	Non-Final Rejection	PROSECUTION	12
03-18-2004	List of references cited by examiner	PRIOR ART	1
03-15-2004	Examiner's search strategy and results	PROSECUTION	1
01-02-2004	Amendment - After Non-Final Rejection	PROSECUTION	2
01-02-2004	Claims	PROSECUTION	8
01-02-2004	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	6
10-02-2003	Non-Final Rejection	PROSECUTION	21
10-02-2003	List of references cited by examiner	PRIOR ART	1
10-02-2003	List of References cited by applicant and considered by examiner	PRIOR ART	1
09-26-2003	Examiner's search strategy and results	PROSECUTION	1
07-12-2002	Information Disclosure Statement (IDS) Filed	PROSECUTION	1
02-14-2000	Miscellaneous Incoming Letter	PROSECUTION	1

02-14-2000	Drawings	PROSECUTION	4
02-14-2000	Power of Attorney	PROSECUTION	1
12-10-1999	Issue Information including classification, examiner, name, claim, renumbering, etc.	PROSECUTION	1
12-10-1999	Search information including classification, databases and other search related notes	PROSECUTION	1
12-10-1999	Index of Claims	PROSECUTION	1
12-10-1999	Transmittal of New Application	PROSECUTION	3
12-10-1999	Drawings	PROSECUTION	8
12-10-1999	Specification	PROSECUTION	24
12-10-1999	Claims	PROSECUTION	11
12-10-1999	Abstract	PROSECUTION	1
12-10-1999	Oath or Declaration filed	PROSECUTION	2
12-10-1999	Fee Worksheet (PTO-06)	PROSECUTION	1
12-10-1999	Fee Worksheet (PTO-06)	PROSECUTION	1
12-10-1999	Miscellaneous Incoming Letter	AS FILED	49

Close Window